

LPL Account View

Your Online Portfolio Access



LPL Account View

With LPL Account View, you have easy online access to current account and portfolio information, statements and trade confirmations. LPL Account View offers portfolio accessibility anytime plus the option to participate in an environmentally supportive alternative to paper statements and trade confirmations. This secure site is easily retrieved through our firm's website and provided as a service to you at no cost.

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HOME SUMMARY BALANCES ACTIVITY PORTFOLIO STATEMENTS


Symbol

Quick Quote

Welcome , Aaron James
Friday, January 07, 2011

My Financial Representative

John A. Advisor
Phone: (555) 555-5555
Fax: (999) 999-9999
john.advisor@lpl.com



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statements

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My LPL Financial Account View Contact

Jane Smith
Phone: (555) 555-5555
jane.smith@lpl.com

Important Message

New Statements or Reports are now ready for viewing.

[LPL Brokerage Statements](#)

Download to Quicken

Zone	Time
Hawaii	11:46 AM
Alaska	12:46 PM
Pacific	1:46 PM
Mountain	2:46 PM
Central	3:46 PM
Eastern	4:46 PM

Please note that the type of account registration for the outside investment may not be the same as the account registration for this LPL Financial account. For example, an outside investment account that has a joint account registration may be reflected on this LPL Financial account that may have an individual account registration.

Overview of Accounts

Account	LPL Financial Investments	Outside Investments
JDoe Brokerage	\$55,925.87	0.00
MF Account	\$60,333.50	\$28,236.46
SAM Acct	\$32,485.89	0.00
Total	\$148,745.26	\$28,236.46
Value of your Total Portfolio		\$176,981.72

* Outside Investments are Mutual Funds, Fixed Annuities, Variable Annuities, or Alternative Investments held directly with the Mutual Fund, Annuity Sponsor, or Alternative Investment Company and linked to your LPL account.

Account Data as of the close of business on:


LPL Financial	
LPL Financial Account Holdings	1/6/2011
Outside Investments	
All Outside Mutual Funds	1/6/2011
Outside Fixed Annuities:	
Sunlife	1/5/2011
Outside Variable Annuities:	
ALZ	1/5/2011
Hartford	1/5/2011
MLI	1/3/2011
Manulife	1/5/2011
Nationwide	1/5/2011
Sunlife	1/5/2011
Skandia	1/5/2011

Market Trends

Today's DJIA

MARKET INDICES

1 Day
5 Day
1 Mo
3 Mo
6 Mo
1 Yr



DJIA
Previous Close 11,697.31
9:30am 12pm 4pm
January 7, 2011 4:24PM EST

AMEX	2,150.58	↓ 1.0%
DJIA	11,674.76	↓ 0.2%
NASDAQ	2,703.17	↓ 0.2%
S&P 500	1,271.50	↓ 0.2%
DJIA	407.72	↑ 0.3%
S&P/TSX	13,272.30	↓ 0.3%

Quote delayed by 15 minutes.

MARKET MOVERS

NASDAQ	← 1 of 3 →
TranS1 Inc	↑ 42%
Zion Oil and Gas Inc	↑ 37%
Genetic Technologi ...	↑ 29%
Zion Oil and Gas Inc	↓ 14%
Central Virginia ...	↓ 15%
Hauppauge Digital Inc	↓ 15%

* The above indices are unmanaged indices and cannot be invested into directly

Access LPL Account View through our website: _____
 Call our office for help getting set up, getting accounts activated or using LPL Account View.



Go Green! Enroll in the FREE Paperless Option

LPL Account View offers you a simple and easy way to “go paperless” and discontinue the mailing of your monthly and quarterly LPL Financial statements and trade confirmations. By switching to online statements, you can manage your account easily while reducing mail and paper clutter. In addition, you can view your statements online faster and reduce paper consumption.

With online statements, you can:

- View LPL Financial monthly statements and quarterly performance reports
- Save and print statements and trade confirmations
- Decrease the possibility of mail fraud and identity theft
- Help the environment
- Easily access up to 24 months of previous statements

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HOME SUMMARY BALANCES ACTIVITY PORTFOLIO STATEMENTS Quick Quote Go

Welcome , **Aaron James** Friday, January 07, 2011

My Financial Representative
John A. Advisor
Phone: (555) 555-5555
Fax: (999) 999-9999
john.advisor@lpl.com

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paperless statements

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▶ Watch Video

Overview of Accounts		
Account	LPL Financial Investments	Outside Investments
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Account Data as of the close of business on:
LPL Financial

Market Trends

Today's DJIA

MARKET INDICES

1 Day 5 Day 1 Mo 3 Mo 6 Mo 1 Yr

DJIA

Previous Close 11,897.31

11,720
11,700
11,680
11,660
11,640
11,620

9:30am 12pm 4pm

Sign Up for Paperless Statements

Simply select the “Go Paperless” button to display a list of your accounts and follow the instructions. You will be notified via email when a statement is ready for you to view online. Statements are available online for two years. Suppression of monthly paper statements and quarterly performance reports can be made per account. Suppression of paper trade confirmations is done at the account owner level.

Please contact our office for further assistance on how to Go Paperless.

LPL Account View Features at a Glance

A wide range of specific account information is available in LPL Account View as well as easy access for conducting research. Below are some highlights of what is available to you:

- View a summary of your accounts (a full 90 days of account activity is available)
- Quickly reference the consolidated overview of your investment accounts
- Access interactive market trend information with current values
- Print or export information from any area within LPL Account View
- Download account data into Quicken

The screenshot displays the LPL Account View interface. At the top, there's a navigation bar with 'HOME', 'SUMMARY', 'BALANCES', 'ACTIVITY', 'PORTFOLIO', and 'STATEMENTS'. A search bar for 'Symbol' and a 'Quick Quote' button are also present. The main content area is divided into several sections:

- Welcome, Aaron James**: Friday, January 07, 2011
- My Financial Representative**: John A. Advisor, Phone: (555) 555-5555, Fax: (999) 999-9999, john.advisor@lpl.com
- Go Green! paperless statements**: Sign Up, Watch Video
- My LPL Financial Account View Contact**: Jane Smith, Phone: (555) 555-5555, jane.smith@lpl.com
- Important Message**: New Statements or Reports are now ready for viewing. LPL Brokerage Statements
- Download to Quicken**
- Overview of Accounts**:

Account	LPL Financial Investments	Outside Investments
JDoe Brokerage	\$55,925.87	0.00
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SAM Acct	\$32,485.89	0.00
Total	\$148,745.26	\$28,236.46
- Value of your Total Portfolio**: \$176,981.72
- Account Data as of the close of business on:**

Account	Date
LPL Financial	1/6/2011
LPL Financial Account Holdings	1/6/2011
Outside Investments	1/6/2011
All Outside Mutual Funds	1/6/2011
Outside Fixed Annuities:	1/5/2011
Sunlife	1/5/2011
Outside Variable Annuities:	1/5/2011
ALZ	1/5/2011
Hartford	1/5/2011
MLI	1/3/2011
Manulife	1/5/2011
Nationwide	1/5/2011
Sunlife	1/5/2011
Skandia	1/5/2011
- Market Trends**: Today's DJIA, MARKET INDICES (DJIA, AMEX, NASDAQ, S&P 500, DJIA, S&P/TSX), MARKET MOVERS (NASDAQ, TranS1 Inc, Zion Oil and Gas Inc, Genetic Technologi..., Zion Oil and Gas Inc, Central Virginia..., Hauppauge Digital Inc)

Conducting Research

- Click on symbol for access to Thomson overview analysis
- Utilize Quick Quote research database

Quick Quote

- Insert the symbol and click "Go"

The screenshot shows the Quick Quote search interface. A search bar contains 'Symbol' and 'GOOG'. A 'Quick Quote' dropdown menu is open, showing options: 'Quick Quote', 'Full Quote', 'Chart', 'TipSheet', and 'Stock News'. Below the search bar, a box displays the search results for 'GOOG':

Symbol: GOOG Last: 375.57 Change: +3.07
 High/Low: 379.10-370.30 Vol: 1.8 Mil (NASDAQ STOCK MARKET)
 (20 min delay) Hide

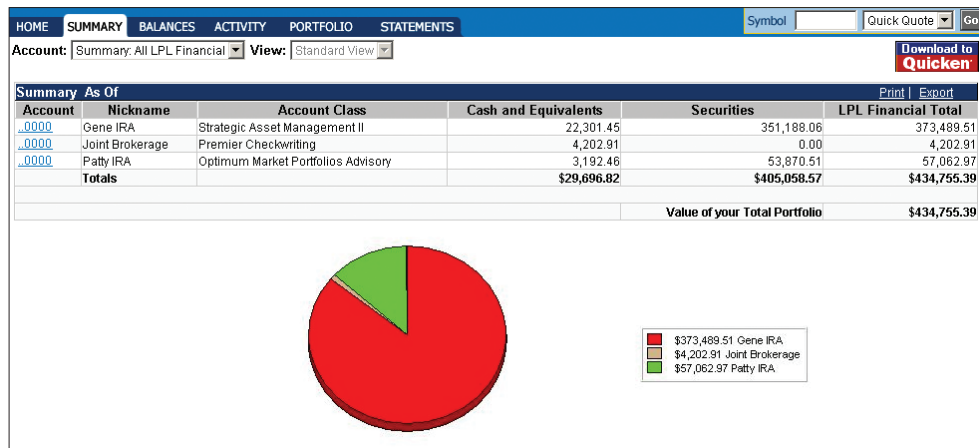
A red arrow points from the text 'These five simple choices offer you a wealth of information:' to the dropdown menu options.

If you do not know the ticker symbol, enter three or four letters of what you propose it may be and the system will provide you with a help box to assist you in locating the symbol.

Summary Page

View the value of your total portfolio, which is updated nightly.

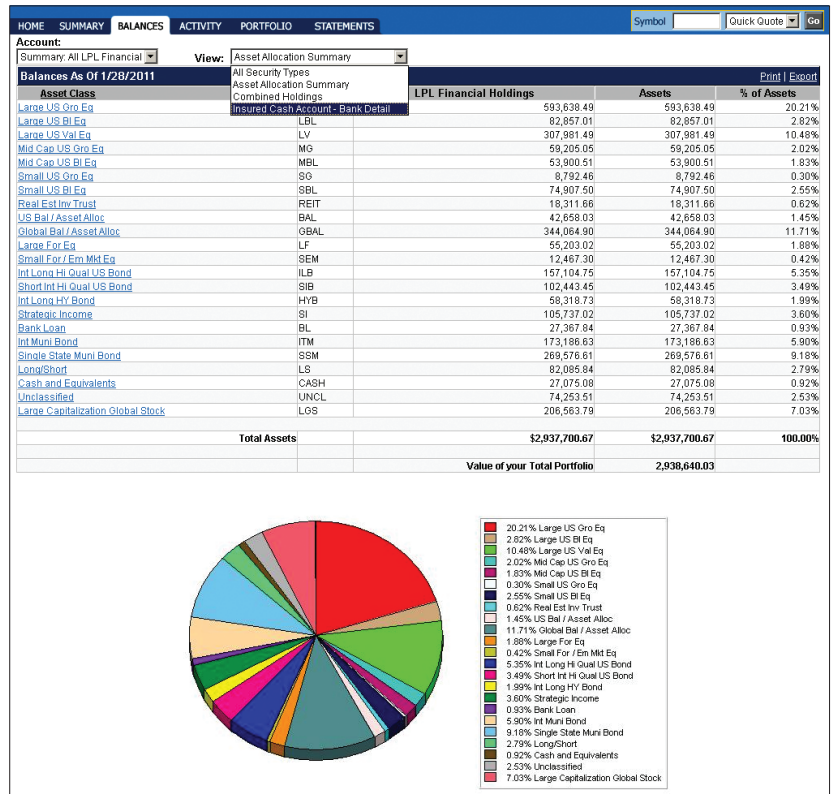
- Choose an individual or aggregate view of accounts
- Download investment information to Quicken or export to Excel
- Quickly link to a portfolio by clicking on an account number



Balances Page

Examine investments from multiple viewpoints. Determine cash balance, margin balance, money market funds and check current balances for each security type.

- Analyze percentage breakdown of brokerage, advisory, mutual fund accounts and variable annuity contracts
- Review graphical illustrations, including asset allocation and combined holdings summary



LPL Account View Features at a Glance *continued*

Activity Page

Filter and track your updated portfolio activity 24 hours a day.

- Access 90-day transaction history
- Evaluate activity by investment type and activity type with an extensive filtering capability
- View both LPL Financial investments and outside transaction history for mutual funds and variable annuities
- Track Premier and Premier Plus check writing and other activity

Date	Acct & Type	Investment	Activity	ID/Symbol	Description
1/17/11	..1234 Cash		CONTRIBUTION		20
1/14/11	..1234 Cash	Money Market Fund	SALE	9999167	CA
1/12/11	..1234 Cash	Money Market Fund	PURCHASE	9999167	CA
1/11/11	..1234 Cash	Mutual Funds	PURCHASE	JLSIX	JAL As
1/10/11	..1234 Cash		ACH FUNDS		AC 02
1/10/11	..1234 Cash	Mutual Funds	PURCHASE	UMBIK	COLUMBIA FUNDS SERIES TRUST I VALUE & RES
1/10/11	..1234 Cash	Mutual Funds	PURCHASE	399874403	GROWTH FUND AMER INC CL F 1
1/7/11	..1234 Cash	Money Market Fund	SALE	9999167	CA TAX EX MONEY MKT
1/6/11	..1234 Cash		WRAP FEE		ADVISORY FEE
1/6/11	..1234 Cash		WRAP FEE		ADVISORY FEE
1/4/11	..1234 Cash	Money Market Fund	PURCHASE	9999167	CA TAX EX MONEY MKT
1/4/11	..1234 Cash	Mutual Funds	NON TAXABLE DIV REIN	TVIFX	THORNBURG INVT CA LTD I PAYMENT RECEIVED As of 10/31/2008
1/4/11	..1234 Cash	Mutual Funds	NON TAXABLE DIV REIN	TVIFX	THORNBURG INVT TR VALUE FD CL I As of 10/31/2008

Portfolio Page

Utilize an array of tools to analyze your portfolio:

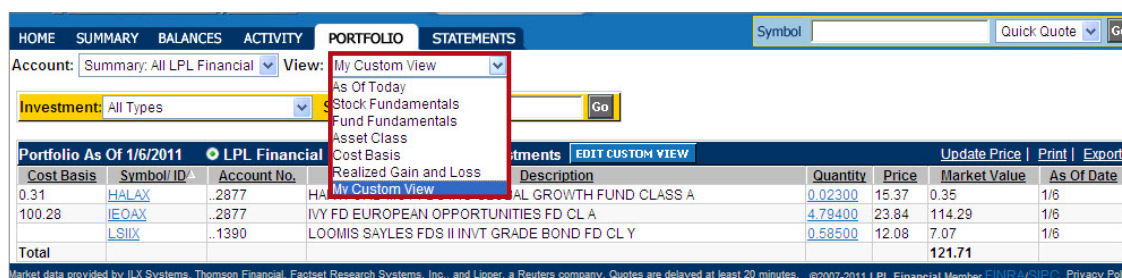
- Reference detailed cost basis history
- Check for unrealized gain/loss information
- View realized gain/loss detail for current and previous year

Symbol/ID	Acct & Type	Asset Class	Description	Qty	Price	Chg Today	% Chg Today	Mkt Value	*Cost Basis	*Unrealized Gain/Loss	Price As of	
HALAX	..2877 Cash	LGS	HARTFORD MUT FDS INC GLOBAL GROWTH FUND CLASS A	0.02300	15.37	-0.11	-0.71%	0.35	0.31	0.00	0.04	1/6
IEQAX	..2877 Cash	LF	IVY FD EUROPEAN OPPORTUNITIES FD CL A	4.79400	23.84	-0.1290	-0.54%	114.29	100.28	14.01	0.00	1/6
LSIX	..1390 Cash	ILB	LOOMIS SAYLES FDS II INVT GRADE BOND FD CL Y	0.58500	12.08	+0.0210	+0.17%	7.07	N/A	N/A	N/A	1/6
Total								\$121.71	\$100.59	\$14.01	\$0.04	
	..2877 JDoe Brokerage	CASH	CASH						0.00			
	..2877 JDoe Brokerage		Cash & Equivalents						0.00			
	..2093 MF Account	CASH	CASH						0.00			
	..2093 MF Account		Cash & Equivalents						0.00			
	..1390 SAM Acct	CASH	CASH						0.00			
	..1390 SAM Acct		JPMORGAN U S GOVERNMENT MONEY MARKET FUND INVESTOR SHARES					20.83				
	..1390 SAM Acct		Cash & Equivalents					20.83				
Total Value								\$142.54				

Customize Your View

You can personalize how your portfolio information is presented in LPL Account View so that you can view the information you want, in the order you want to view it.

- While on the Portfolio tab, select “My Custom View” in the drop-down list under “View” and then click “Edit Custom View”
- Use the side to side arrows to move desired display items from the left to the right column labeled “Your Custom Portfolio View”
- Suppress any items by moving them into the left column labeled “Columns You Can Use”
- Determine the order of columns by highlighting a category and adjusting it with the up and down arrows



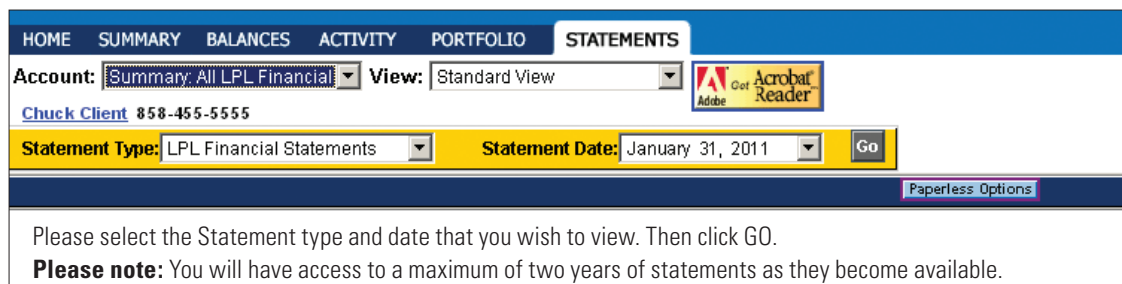
The screenshot shows the LPL Account View interface. The 'PORTFOLIO' tab is active. The 'View' dropdown menu is open, showing options: 'My Custom View' (selected), 'As Of Today', 'Stock Fundamentals', 'Fund Fundamentals', 'Asset Class', 'Cost Basis', and 'Realized Gain and Loss'. The 'My Custom View' option is highlighted in red. Below the menu, a table displays portfolio holdings for 'LPL Financial' as of 1/6/2011. The table includes columns for Cost Basis, Symbol/ID, Account No., Description, Quantity, Price, Market Value, and As Of Date. The total market value is 121.71.

Cost Basis	Symbol/ID	Account No.	Description	Quantity	Price	Market Value	As Of Date
0.31	HALAX	.2877	AL GROWTH FUND CLASS A	0.02300	15.37	0.35	1/6
100.28	IEOAX	.2877	IVY FD EUROPEAN OPPORTUNITIES FD CL A	4.79400	23.84	114.29	1/6
	LSIIX	.1390	LOOMIS SAYLES FDS II INVT GRADE BOND FD CL Y	0.58500	12.08	7.07	1/6
Total						121.71	

Statements Page

Access historical monthly statements, quarterly performance reports and trade confirmations.*

- Easy access 24/7
- 24 months of previous statements available
- For long-term convenient electronic storage, download your monthly or year-end statements to your own personal computer
- Go Green! Take advantage of the free paperless option



The screenshot shows the 'STATEMENTS' page in LPL Account View. The 'Statement Type' dropdown is set to 'LPL Financial Statements' and the 'Statement Date' dropdown is set to 'January 31, 2011'. A 'Go' button is visible. Below the dropdowns, there is a 'Paperless Options' link. A message box contains the text: 'Please select the Statement type and date that you wish to view. Then click GO. Please note: You will have access to a maximum of two years of statements as they become available.'

*Trade confirmations are available online for trades executed after January 3, 2011.

To the extent you are receiving investment advice from a separately registered independent investment advisor, please note that LPL Financial is not an affiliate of and makes no representation with respect to such entity.

Not FDIC/NCUA Insured	Not Bank/Credit Union Guaranteed	May Lose Value	Not Insured by any Federal Government Agency	Not a Bank Deposit
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